



FORM PTO-1449	SERIAL NO. 09/470,428	CASE NO. 8864/8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE December 22, 1999	GROUP ART UNIT 2834
(use several sheets if necessary)		
APPLICANT(S): Griffith D. Neal		

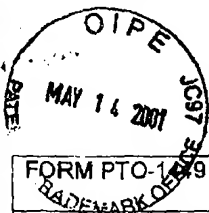
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
ZLJ	F1	4,855,853	08/08/1989	Matsushita et al.		
	F2	5,331,483	07/19/1994	Müller et al.		
	F3	5,664,314	09/09/1997	Peistring et al.		
	F4	5,675,201	10/07/1997	Komura et al.		
	F5	5,793,129	08/11/1998	Parsonneault et al.		
	F6	5,822,846	10/20/1998	Moritan et al.		
	F7	5,831,355	11/03/1998	Oku		
	F8	5,986,365	11/16/1999	Kuwert et al.		
	F9	6,075,304	06/13/2000	Nakatsuka		
	F10	6,102,636	08/15/2000	Geise		
	F11	6,148,501	11/21/2000	Grantz et al.		
	F12	6,163,952	12/26/2000	Takehara		
	F13	6,215,219 B1	04/10/2001	Hwang		

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
ZLJ	F14	DE 42 21 429 A 1	01/07/1993	Germany		
	F15	0 818 6953	07/16/1996	Japan		Abstract X
	F16	0 312 8645	05/31/1991	Japan		Abstract
	F17	0 129 1652	11/24/1989	Japan		Abstract
	F18	0 119 0256	07/31/1989	Japan		Abstract
	F19	JP 352079207A	07/04/1977	Japan		Abstract

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER <i>ZLJ</i>	DATE CONSIDERED 9-12-07
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FORM PTO-1499 TRADEMARK OFFICE	SERIAL NO. 09/470,428	CASE NO. 8864/8
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APPLICANT(S): Griffith D. Neal		

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
LN	E1	3,638,055	01/25/1972	Zimmermann	Z	
	E2	4,492,889	01/08/1985	Fukushi et al.		
	E3	5,075,585	12/24/1991	Teruyama et al.		
	E4	5,814,412	09/29/1998	Terada et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER <i>LN</i>	DATE CONSIDERED <i>9-12-03</i>
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE December 22, 1999	GROUP ART UNIT 2754
APPLICANT(S): Griffith D. Neal		

## REFERENCE DESIGNATION

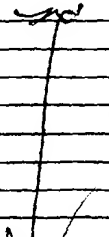
## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
[Signature]	D1	4,128,527	12/05/78	Kinjo et al.		
	D2	4,352,897	10/05/82	Ogata et al.		
	D3	4,387,311	06/07/83	Kobayashi et al.		
	D4	4,679,313	07/14/87	Schultz et al.		
	D5	4,760,299	07/26/88	Dickie et al.		
	D6	4,868,970	09/26/89	Schultz et al.		
	D7	4,954,739	09/04/90	Schultz et al.		
	D8	5,008,572	04/16/91	Marshall et al.		
	D9	5,694,268	12/02/97	Dunfield et al.		
	D10	5,751,085	05/12/98	Hayashi		
	D11	5,880,179	03/09/99	Ito et al.		
	D12	5,942,824	08/24/99	Shioya et al.		
	D13	6,002,185	12/14/99	Nakao et al.		

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO
[Signature]	D14	05336722	12/17/93	Japan		Abstract	
	D15	10070870	03/10/98	Japan		Abstract	
	D16	11082508	03/26/99	Japan		Abstract	

EXAMINER INITIAL	U. S. Patent Applications			
		Filing Date	Serial No.	
	D17	Dec. 22, 1999	09/470,432	copy of claims enclosed
	D18	Dec. 22, 1999	09/470,434	copy of claims enclosed
	D19	Dec. 22, 1999	09/470,430	copy of claims enclosed
	D20	Dec. 22, 1999	09/470,429	copy of claims enclosed
	D21	Dec. 22, 1999	09/470,427	copy of claims enclosed
	D22	Dec. 22, 1999	09/470,431	copy of claims enclosed
	D23	Dec. 22, 1999	09/470,433	copy of claims enclosed
	D24	Dec. 22, 1999	09/470,425	copy of claims enclosed
	D25	Dec. 22, 1999	09/470,426	copy of claims enclosed
	D26	Dec. 22, 1999	09/470,424	copy of claims enclosed
	D27	Dec. 15, 2000	09/738,268	copy of application as originally filed enclosed

EXAMINER [Signature]	DATE CONSIDERED 9-12-03
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE December 22, 1999	GROUP ART UNIT 2754
(use several sheets if necessary)	APPLICANT(S): Griffith D. Neal	

### REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

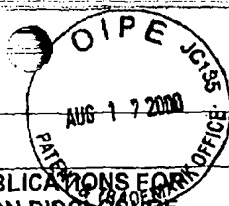
[illegible]

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER <i>W. P. [Signature]</i>	DATE CONSIDERED <i>9-12-03</i>
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APPLICANT(S): Griffith D. Neal		

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
<i>[Signature]</i>	B33	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic Thermoplastic Encapsulation," (no date), Publ. Reorder No.: H-58633 (R, 96.7), 20 pages.
	B34	The Epoxylite Corporation, article from the Internet entitled "Vacuum Pressure Impregnation (VPI) Systems", 11/19/99, < <a href="http://www.epoxylite.com/EpoxyliteEquipment.htm">http://www.epoxylite.com/EpoxyliteEquipment.htm</a> >, 3 pages.
	B35	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down Time and Keeps Equipment Running Longer," 07/14/99, < <a href="http://www.bmwworks.com/VIP.htm">http://www.bmwworks.com/VIP.htm</a> >, 1 page.
	B36	Neeltran Inc., article from the Internet entitled "Vacuum Pressure Impregnation (VPI)", 11/19/99, < <a href="http://www.neeltran.thomasregister.com/oic/neeltran/neel9.htm">http://www.neeltran.thomasregister.com/oic/neeltran/neel9.htm</a> > 2 pages.

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(use several sheets if necessary)		
APPLICANT(S): Griffith D. Neal		

# REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
JW	B1	3,590,328	06/29/71	Bert L. Frescura		
	B2	3,979,530	09/07/76	Schwider et al.		
	B3	4,858,073	08/15/89	Gregory		
	B4	5,036,580	08/06/91	Fox et al.		
	B5	5,142,103	08/25/92	Stine		
	B6	5,147,982	09/15/92	Steffen		
	B7	5,345,129	09/06/94	Molnar		
	B8	5,396,210	03/07/95	Purohit et al.		
	B9	5,400,218	03/21/95	Val		
	B10	5,461,772	10/31/95	Puri		
	B11	5,621,372	04/15/97	Purohit		
	B12	5,698,919	12/16/97	Obara		
	B13	5,728,600	03/17/98	Saxelby, Jr. et al.		
	B14	5,766,535	06/16/98	Ong		
	B15	5,850,318	12/15/98	Dunfield et al.		
	B16	5,881,447	03/16/99	Molnar		
	B17	5,958,466	09/28/99	Ong		
	B18	6,019,516	02/01/00	Leuthold et al.		
	B19	6,071,014	06/06/00	Lee et al.		
	B20	6,020,661	02/01/00	Trago et al.		

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	B21	870.878	01/15/79	Belgium		Abstract
20	B22	891.258	03/16/82	Belgium		Abstract
	B23	DT 25 39 492 A1	03/10/77	Germany		Abstract
	B24	EP 0 747 943 A2	02/18/98	EPO		
	B25	EP 0 883 171 A1	12/09/98	EPO		Abstract
	B26	2 647 958	12/07/90	France		Abstract
	B27	WO 92/06532	04/16/92	PCT		
	B28	WO 96/20501	07/04/96	PCT		
	B29	WO 96/33533	10/24/96	PCT		
	B30	WO 97/39870	10/30/97	PCT		
	B31	SU 1334297	08/30/87	Soviet Union		Abstract
	B32	SU 1494148	07/15/89	Soviet Union		Abstract

EXAMINER <i>J. E. Neal</i>	DATE CONSIDERED 9-12-03
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FORM PTO-1449

SERIAL NO.

09/470,428

CASE NO.

8820/8

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

FILING DATE

December 22, 1999

GROUP ART UNIT

(use several sheets if necessary)

APPLICANT(S): Griffith D. Neal

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	3,942,054	03/02/1976	Kristen et al.		
	A2	4,572,979	02/25/1986	Haar et al.		
	A3	4,801,833	01/31/1989	Dye		
	A4	4,853,576	08/01/1989	Mayumi et al.		
	A5	5,121,021	06/09/1992	Ward		
	A6	5,134,327	07/28/1992	Sumi et al.		
	A7	5,206,554	04/27/1993	Perrot		
	A8	5,268,607	12/07/1993	McManus		
	A9	5,334,897	08/02/1994	Ineson et al.		
	A10	5,382,852	01/17/1995	Yuhi et al.		
	A11	5,414,317	05/09/1995	Reid et al.		
	A12	5,500,780	03/19/1996	Boutaghou et al.		
	A13	5,506,458	04/09/1996	Pace et al.		
	A14	5,541,787	07/30/1996	Jabbari et al.		
	A15	5,548,458	08/20/1996	Polstring et al.		
	A16	5,558,445	09/24/1996	Chen et al.		
	A17	5,579,188	11/26/1996	Dunfield et al.		
	A18	5,587,617	12/24/1996	Dunfield et al.		
	A19	5,598,048	01/28/1997	Dunfield et al.		
	A20	5,610,463	03/11/1997	Dunfield et al.		
	A21	5,619,083	04/08/1997	Dunfield et al.		
	A22	5,619,389	04/08/1997	Dunfield et al.		
	A23	5,668,427	09/16/1997	Morita		
	A24	5,672,927	09/30/1997	Viskochil		
	A25	5,675,196	10/07/1997	Huang et al.		
	A26	5,694,268	12/02/1997	Dunfield et al.		
	A27	5,729,404	03/17/1998	Dunfield et al.		
	A28	5,742,450	04/21/1998	Moser		
	A29	5,751,514	05/12/1998	Hyde et al.		
	A30	5,633,545	05/27/1997	Albrecht et al.		
	A31	5,666,242	09/09/1997	Edwards et al.		
	A32	5,806,169	09/15/1998	Trago et al.		
EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)					
	A33	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)				
	A34	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive Composites," undated (2 pages)				

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